

General information about company	
Scrip code	536751
Name of the company	Five X Finance & Investment Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	1056521			1056521	5.14	1056521		1056521	5.14
(B)	Public	8290	19497879			19497879	94.86	19497879		19497879	94.86
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	8296	20554400			20554400	100	20554400		20554400	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				5.14					1056121
(B)	Public				94.86					18699782
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					19755903

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	30811			30811	0.15	30811		30811	0.15
(d)	Any Other (specify)	4	1025710			1025710	4.99	1025710		1025710	4.99
Sub-Total (A)(1)		6	1056521			1056521	5.14	1056521		1056521	5.14
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		6	1056521			1056521	5.14	1056521		1056521	5.14
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	7836	7287170			7287170	35.45	7287170		7287170	35.45
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	91	7176300			7176300	34.91	7176300		7176300	34.91
(e)	Any Other (specify)	363	5034409			5034409	24.49	5034409		5034409	24.49
Sub-Total (B)(3)		8290	19497879			19497879	94.86	19497879		19497879	94.86
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8290	19497879			19497879	94.86	19497879		19497879	94.86
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		8296	20554400			20554400	100	20554400		20554400	100
Total (A+B+C)		8296	20554400			20554400	100	20554400		20554400	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.15					30411
(d)				4.99					1025710
Sub-Total (A) (1)				5.14					1056121
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				5.14					1056121
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				35.45					6908433
(a(ii))				34.91					6756940
(e)				24.49					5034409
Sub-Total (B) (3)				94.86					18699782
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				94.86					18699782
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					19755903
Total (A+B+C)				100					19755903

Individuals/Hindu undivided Family			
Serial No.	1	2	
Name of the Shareholders (I)	TANU GIRIRAJ AGRAWAL	GIRRAJ KISHOR AGRAWAL	Click here to go back
PAN (II)	AADPA7003J	AABPA4928N	Total
No. of fully paid up equity shares held (IV)	28800	2011	30811
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28800	2011	30811
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.14	0.01	0.15
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	28800	2011	30811
Class eg:y			
Total	28800	2011	30811
Total as a % of Total Voting rights	0.14	0.01	0.15
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.14	0.01	0.15
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			

As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	28400	2011	30411
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	TILAK VENTURES LIMITED	ROCKON ENTERPRISES LIMITED	HANDFUL INVESTRADE PRIVATE LIMITED	AXON VENTURES LIMITED	
PAN (II)	AAACT2373C	AAACI4123R	AAACH2018Q	AADCA3800G	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	64000	811680	131550	18480	1025710
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	64000	811680	131550	18480	1025710
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.31	3.95	0.64	0.09	4.99
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	64000	811680	131550	18480	1025710
Class eg:y					
Total	64000	811680	131550	18480	1025710
Total as a % of Total Voting rights	0.31	3.95	0.64	0.09	4.99
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.31	3.95	0.64	0.09	4.99
Number of Locked in shares (XII)					
No. (a)					

As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	64000	811680	131550	18480	1025710
Reason for not providing PAN					

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	P RAMASWAMY REDDY	DILEEP V . S	RAJ KUMAR JAIN	RAJEEV VISWAMBHARAN SUSEELA	PRAHLAD RAI INANI	NITIN TYAGI	ANIL KUMAR PODDAR
PAN (II)	ARAPP6333N	AJVPD1347B	ADLPJ0714E	AJCPS4935P	ZZZZZ9999Z	ACVPT5477D	AJPPP9768L
No. of fully paid up equity shares held (IV)	210466	320000	400000	808000	367440	257230	216224
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	210466	320000	400000	808000	367440	257230	216224
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.56	1.95	3.93	1.79	1.25	1.05
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	210466	320000	400000	808000	367440	257230	216224
Class eg:y							
Total	210466	320000	400000	808000	367440	257230	216224
Total as a % of Total Voting rights	1.02	1.56	1.95	3.93	1.79	1.25	1.05
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.02	1.56	1.95	3.93	1.79	1.25	1.05
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							

Number of equity shares held in dematerialized form (XIV)	210466	320000	400000	808000	0	257230	216224
Reason for not providing PAN							
Reason for not providing PAN					Textual Information(1)		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Serial No.	8	
Name of the Shareholders (I)	MANMOHAN SAHU	Click here to go back
PAN (II)	AQZPS4181K	Total
No. of fully paid up equity shares held (IV)	400000	2979360
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	400000	2979360
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.95	14.49
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	400000	2979360
Class eg:y		
Total	400000	2979360
Total as a % of Total Voting rights	1.95	14.49
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.95	14.49
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	400000	2611920

Reason for not providing PAN		
Reason for not providing PAN		

Text Block	
Textual Information(1)	The PAN number is not available with RTA

Any Other (specify)						
Serial No.	1	2	3	4	5	
Category	Clearing Members	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	SSJ FINANCE & SECURITIES PVT. LTD.	MORYO INDUSTRIES LIMITED	ISAIRIS TRADING PRIVATE LIMITED	FIRSTOBJECT TECHNOLOGIES LIMITED	SHRI PARASRAM HOLDINGS PVT.LTD.	Click here to go back
PAN (II)	AABCS4245H	AACCM5166G	AADCI0407P	AAACI7062F	AAACS4487J	Total
No. of the Shareholders (I)	1	1	1	1	1	0
No. of fully paid up equity shares held (IV)	316494	303690	864957	1709795	230800	0
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	316494	303690	864957	1709795	230800	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.54	1.48	4.21	8.32	1.12	0
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	316494	303690	864957	1709795	230800	0
Class eg:y						
Total	316494	303690	864957	1709795	230800	0
Total as a % of Total Voting rights	1.54	1.48	4.21	8.32	1.12	0
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	1.54	1.48	4.21	8.32	1.12	0

(VII)+(X) As a % of (A+B+C2)						
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	316494	303690	864957	1709795	230800	0
Reason for not providing PAN						
Reason for not providing PAN						

