

Name of the Company : FIVE X FINANCE AND INVESTMENT LTD

Script Code,Name of the scrip, class of security :

Quarter ended : 30/09/2015

Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/ promoter group		0.00	0.00
Held by public		0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Warrants	No. of Warrants	As a % of total no.of Warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
<b>Total</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants convertible securities Total (A+B+C)+ D+E+F)</b>	<b>20554400</b>		<b>100.00</b>

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**Statement Showing Shareholding Pattern**

Category Code	Category of Shareholder	Number of Shareholders	Total Number Of Shares	Number of Shares held in Dematerialised form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a Percentage of (A+B)	As a Percentage of (A+B+C)	Number of shares	As a percentage
					(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100

**(A) Shareholding of Promoter and Promoter Group2**

<b>1. INDIAN</b>								
(a)	INDIVIDUAL / HUF	3	30811	30411	0.1499	0.1499	0	0.00
(b)	CENTRAL / STATE GOVERNMENT(S)	0	0	0	0.0000	0.0000	0	0.00
(c)	BODIES CORPORATE	0	0	0	0.0000	0.0000	0	0.00
(d)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.0000	0.0000	0	0.00
(e)	ANY OTHERS (Specify)							
(i)	DIRECTORS RELATIVES	0	0	0	0.0000	0.0000	0	0.00
(ii)	GROUP COMPANIES	3	961710	961710	4.6789	4.6789	0	0.00
(iii)	TRUSTS	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (A)(1) :</b>		<b>6</b>	<b>992521</b>	<b>992121</b>	<b>4.8288</b>	<b>4.8288</b>	<b>0</b>	<b>0.00</b>

<b>2. FOREIGN</b>								
(a)	INDIVIDUAL	0	0	0	0.0000	0.0000	0	0.00
(b)	BODIES CORPORATE	0	0	0	0.0000	0.0000	0	0.00
(c)	INSTITUTIONS	0	0	0	0.0000	0.0000	0	0.00
(d)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.0000	0.0000	0	0.00
(e)	ANY OTHERS (Specify)	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (A)(2) :</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0.00</b>

<b>Total holding for promoters (A)=(A)(1) + (A)(2)</b>		<b>6</b>	<b>992521</b>	<b>992121</b>	<b>4.8288</b>	<b>4.8288</b>	<b>0</b>	<b>0.00</b>
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**(B) Public shareholding**

<b>1. INSTITUTIONS</b>								
(a)	MUTUAL FUNDS / UTI	0	0	0	0.0000	0.0000	0	0.00
(b)	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0.0000	0.0000	0	0.00
(c)	CENTRAL / STATE GOVERNMENT(S)	0	0	0	0.0000	0.0000	0	0.00
(d)	VENTURE CAPITAL FUNDS	0	0	0	0.0000	0.0000	0	0.00
(e)	INSURANCE COMPANIES	0	0	0	0.0000	0.0000	0	0.00
(f)	FII'S	0	0	0	0.0000	0.0000	0	0.00

**Statement Showing Shareholding Pattern**

Table (I)(a)								
Category Code	Category of Shareholder	Number of Shareholders	Total Number Of Shares	Number of Shares held in Dematerialised form	Total Shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a Percentage of (A+B)	As a Percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100
(g)	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0.0000	0.0000	0	0.00
(h)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.0000	0.0000	0	0.00
(i)	ANY OTHERS (Specify)	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (B)(1) :</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0.00</b>
<b>B 2. Non-institutions</b>								
(a)	TRUSTS	0	0	0	0.0000	0.0000	0	0.00
(b)	BODIES CORPORATE	152	4767838	4767838	23.1962	23.1962	0	0.00
(c)	INDIVIDUAL							
(i)	(CAPITAL UPTO TO Rs. 1 Lakh)	8036	6230205	5863774	30.3108	30.3108	0	0.00
(ii)	(CAPITAL GREATER THAN Rs. 1 Lakh)	203	8255382	7436022	40.1636	40.1636	0	0.00
(d)	QUALIFIED FOREIGN INVESTOR	0	0	0	0.0000	0.0000	0	0.00
(e)	ANY OTHERS (Specify)							
(i)	CLEARING MEMBER	9	7506	7506	0.0365	0.0365	0	0.00
(ii)	DIRECTORS RELATIVES	0	0	0	0.0000	0.0000	0	0.00
(iii)	EMPLOYEE	0	0	0	0.0000	0.0000	0	0.00
(iv)	NON RESIDENT INDIANS (NRI)	38	300948	300948	1.4642	1.4642	0	0.00
(v)	OVERSEAS BODIES CORPORATES	0	0	0	0.0000	0.0000	0	0.00
(vi)	UNCLAIMED SUSPENSE ACCOUNT	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (B)(2) :</b>		<b>8438</b>	<b>19561879</b>	<b>18376088</b>	<b>95.1712</b>	<b>95.1712</b>	<b>0</b>	<b>0.00</b>
<b>Total Public Shareholding (B)=(B)(1) + (B)(2)</b>		<b>8438</b>	<b>19561879</b>	<b>18376088</b>	<b>95.1712</b>	<b>95.1712</b>	<b>0</b>	<b>0.00</b>
<b>Total (A) + (B) :</b>		<b>8444</b>	<b>20554400</b>	<b>19368209</b>	<b>100.0000</b>	<b>100.0000</b>	<b>0</b>	<b>0.00</b>
<b>(C) Shares held by Custodians and against which Depository Receipts have been issued</b>								
(i)	Promoter and Promoter Group	0	0	0	0.0000	0.0000	0	0.00
(ii)	Public	0	0	0	0.0000	0.0000	0	0.00
<b>SUB TOTAL (C)(1) :</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0.0000</b>	<b>0</b>	<b>0.00</b>

**Grand Total (A) + (B) + (C)                      8444                      20554400                      19368209                      100                      100.0000                      0                      0.00**

NOTES :

1) NAME,NUMBER OF SHARES HELD & PERCENTAGE OF ENTITIES / PERSONS HOLDING MORE THAN 1% OF THE TOTAL SHARES OF THE COMPANY IS AS PER ANNEXURE

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "**Promoter and Promoter Group**"

Sr.No	Name of the Shareholder	Total shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of grand total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)* 100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	ROCKON FINTECH LIMITED	811680	3.9489	0	0.00	0.00	0	0	0	0	0
2	HANDFUL INVESTRADE PVT LTD	131550	0.6400	0	0.00	0.00	0	0	0	0	0
3	TANU GIRIRAJ AGRAWAL	28400	0.1382	0	0.00	0.00	0	0	0	0	0
4	AXON INFOTECH LIMITED	18480	0.0899	0	0.00	0.00	0	0	0	0	0
5	GIRRAJ KISHOR AGRAWAL	2011	0.0098	0	0.00	0.00	0	0	0	0	0
6	TANU G AGRAWAL	400	0.0019	0	0.00	0.00	0	0	0	0	0
<b>TOTAL :</b>		<b>992521</b>	<b>4.8288</b>	<b>0</b>	<b>0.00</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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(l)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Sr.No	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	IQMS SOFTWARE LIMITED	1616057	7.8623	0	0	0	0	0
2	ISAIRIS TRADING PRIVATE LIMITED	864957	4.2081	0	0	0	0	0
3	RAJEEV VISWAMBHARAN SUSEELA	808000	3.9310	0	0	0	0	0
4	SSJ FINANCE & SECURITIES PVT. LTD.	721494	3.5102	0	0	0	0	0
5	MANMOHAN SAHU	400000	1.9461	0	0	0	0	0
6	PRAHLAD RAI INANI	367440	1.7876	0	0	0	0	0
7	DILEEP V . S .	320000	1.5568	0	0	0	0	0
8	MORYO INDUSTRIES LIMITED	303690	1.4775	0	0	0	0	0
9	NITIN TYAGI	257230	1.2515	0	0	0	0	0
10	ANIL KUMAR PODDAR	207093	1.0075	0	0	0	0	0
<b>TOTAL :</b>		<b>5865961</b>	<b>28.5387</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company.

SR.NO	Name	Number of shares	Per	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	IQMS SOFTWARE LIMITED	1616057	7.8623	0	0	0	0	0
<b>TOTAL :</b>		<b>1616057</b>	<b>7.8623</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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(I)(d) Statement showing details of locked-in shares AS ON : 30/09/2015

Sr No	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter / Promoter Group / Public
1				
<b>TOTAL:</b>				

(II)(a)

**Statement showing details of Depository Receipts (DRs)**

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Sr.No.	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No of Outstanding DRs.	No of Shares underlying outstanding DRs.	Shares underlying outstanding DRs as a Percentage of total number of shares (i.e Grand Total (A)+ (B) + (C) Indicated in statement at para(I) (a) above)
1	GDRs			
TOTAL :				

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(II) (b) Statement showing holding of Depository Receipts (DRs) , where  
underlying shares held by 'promoter / promoter group ' are in excess  
of 1% of the total number of shares.

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Sr.No.	Name of the DR Holder	Type of Outstanding DR (ADRs,GDRs,SDRs etc.)	No.of Shares underlying outstanding DRs.	Shares underlying outstanding DRs.as a Percentage of total number of shares (i.e Grand Total (A)+(B)+(C) Indicated in statement at Para (I) (a) above)
1				
TOTAL :				

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