

Five X Finance & Investment Ltd.

Regd. Off: 305, Krishna - A, Vishal Nagar, Marve Road, Malad (West), Mumbai - 400 064
Tel: 022 60603001 Email: fivexfinance@gmail.com website: www.fivexfinance.com

CIN: L65910MH2010PLC201249

Date: 07/07/2014

To,
Corporate Services
Bombay Stock Exchange Ltd.
Corporate Relationship Dept,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai – 400 001

Ref: BSE Code: 536751

Sub: Submission of Shareholding Pattern Pursuant to Clause 35 of Listing Agreement
For the Quarter ended 30/06/2014

Dear Sir,

In terms of clause 35 of the listing agreement, we are enclosing herewith shareholding pattern in the prescribed format for the quarter ended 30th June, 2014 for your information and records.

Thanking you,

For Five X Finance & Investment Ltd



Prajna Naik
(Company Secretary)



Encl: a/a.

Five X Finance & Investment Ltd.

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Introductory sub-table (I)(a)

Name of the Company: Five X Finance & Investment Limited			
Scrip Code, Name of the scrip, class of security: BSE Code - 536751			
Quarter ended: 30/06/2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	20554400	0	100

For Five X Finance & Investment Ltd.


Director / Authorised Signatory

Statement Showing Shareholding Pattern

Table (I)(a)

Category Code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	3	30811	30411	0.15	0.15	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	4	1025710	1025710	4.99	4.99	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
(e-i)	Directors	0	0	0	0.00	0.00	0	0.00
(e-ii)	Directors Relative	0	0	0	0.00	0.00	0	0.00
(e-iii)	Person Acting in Concert	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	7	1056521	1056121	5.14	5.14	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	7	1056521	1056121	5.14	5.14	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	0	0	0	0.00	0.00	0	0.00
B 2	Non-institutions							
(a)	Bodies Corporate	183	5521898	5521898	26.86	26.86	0	0.00
(b)	Individuals							
i	Individual shareholders holding nominal share capital up to Rs 1 lakh	8170	6229408	5862977	30.31	30.31	0	0.00
ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	194	7303734	6484374	35.53	35.53	0	0.00
(c)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
(c-i)	NRI (Repeat & Non-Repeat)	38	392309	392309	1.91	1.91	0	0.00
(c-ii)	Hindu Undivided Family (HUF)	0	0	0	0.00	0.00	0	0.00
(c-iii)	Clearing Member	20	50530	50530	0.25	0.25	0	0.00
	Sub-Total (B)(2)	8605	19497879	18312088	94.86	94.86	0	0.00
(B)	Total Public Shareholding (B)= B)(1)+(B)(2)	8605	19497879	18312088	94.86	94.86	0	0.00
	TOTAL (A)+(B)	8612	20554400	19368209	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0.00
	Sub-Total (C)	0	0	0	0	0	0	0.00
	GRAND TOTAL (A)+(B)+(C)	8612	20554400	19368209	100.00	100.00	0	0.00

For Five X Finance & Investment Ltd.

 Director / Authorised Signatory

Sr.No	Notes
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For Five X Finance & Investment Ltd



Director / Authorised Signatory

(I)(b) - Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=[(V)/(III)]*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=[(V)/(III)]*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	Rockon Fintech Ltd	811680	3.95	0	0.00	0.00	0	0.00	0	0.00	3.95
2	Tilak Finance Ltd	64000	0.31	0	0.00	0.00	0	0.00	0	0.00	0.31
3	Axon Infotech Ltd	18480	0.09	0	0.00	0.00	0	0.00	0	0.00	0.09
4	Girraj Kishor Bankeylal Agrawal	2011	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01
5	Tanu Giriraj Kishor Agarwal	400	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00
6	Handful Investrade Pvt Ltd	131550	0.64	0	0.00	0.00	0	0.00	0	0.00	0.64
7	Tanu Giriraj Kishor Agarwal	28400	0.14	0	0.00	0.00	0	0.00	0	0.00	0.14
TOTAL		1056521	5.14	0	0.00	0.00	0	0.00	0	0.00	5.14

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

For Five X Finance & Investment Ltd.


Director / Authorised Signatory

(I)(D) - Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of Locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter / Promoter Group / Public
1	Nil	0	0.00	0.00
2		0	0.00	0.00
3		0	0.00	0.00
TOTAL		0	0.00	0.00

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(II) (a) - Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	Nil			0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
TOTAL		0	0	0.00

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