

Five X Finance & Investment Ltd.

CIN: L65910MH2010PLC201249

Regd. Off: 305, Krishna - A, Vishal Nagar, Marve Road, Malad (West), Mumbai - 400 064
Tel: 022 60603001 / Email: fivexfinance@gmail.com / website: www.fivexfinance.com

Date: 09/01/2015

To,
Corporate Services
Bombay Stock Exchange Ltd.
Corporate Relationship Dept,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai – 400 001

Ref: BSE Code: 536751

Sub: Submission of Shareholding Pattern Pursuant to Clause 35 of Listing Agreement
For the Quarter ended 31/12/2014

Dear Sir,

In terms of clause 35 of the listing agreement, we are enclosing herewith shareholding pattern in the prescribed format for the quarter ended 31st December, 2014 for your information and records.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully,
For Five X Finance & Investment Ltd



Authorized Representative



Encl: a/a

Five X Finance & Investment Ltd.

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(1)(a) Statement showing ShareHolding Pattern

Name of the Company :	Five X Finance & Investment Limited
Scrip Code, name of the Scrip, Class of security :	536751 Equity Shares
Quarter Ended:	31.12.2014

Partly paid-up Shares	No. of Partly paid-up Shares	As a % of total No. of Partly paid-up Shares	As a % of Total no. of Shares of the Company
Held by Promoters/ Promoters Group	0	0.00	0.00
Held by Public	0	0.00	0.00
TOTAL	0	0.00	0.00

Outstanding Conv. Securities	No. of Outstanding Securities	As a % of Total no. of O/S Conv. Securities	As a % of Total no. of shares of the Co., assuming full conv.
Held by Promoters/ Promoters Group	0	0.00	0.00
Held by Public	0	0.00	0.00
TOTAL	0	0.00	0.00

Warrants :	No. of Warrants	As a % of Total no. of Warrants	As a % of Total no. of shares of the Co., assuming full conv.
Held by Promoters/ Promoters Group	0	0.00	0.00
Held by Public	0	0.00	0.00
TOTAL	0	0.00	0.00
Total paid-up Capital of the Company assum- ing full conv. Of warrants & securities	20554400	0.00	100.00

For Five X Finance & Investment Ltd.

Tane Agrawal
Director/ Authorised Signatory

Scrip Code : 536751
Quarter Ended : 31.12.2014

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a % of Total No. of Shares
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
a	Individual/Huf	3	30811	30411	0.15	0.15	0.00	0.00
b	Central/State Gov	0	0	0	0.00	0.00	0.00	0.00
c	Bodies Corporates	4	1025710	1025710	4.99	4.99	0.00	0.00
d	Fins / Banks	0	0	0	0.00	0.00	0.00	0.00
e	Any Other specify	0	0	0	0.00	0.00	0	0.000
	Sub Total(A)(1)	7	1056521	1056121	5.14	5.14	0.00	0.00
2	Foreign							
a	Indv NRI/For Ind	0	0	0	0.00	0.00	0.00	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0.00	0.00
c	Institutions	0	0	0	0.00	0.00	0.00	0.00
d	Qualified For.Inv.	0	0	0	0.00	0.00	0.00	0.00
e	Any Other Specify	0	0	0	0.00	0.00	0.00	0.00
	Sub Total(A)(2)	0	0	0	0.000	0.000	0	0.000
	Total Shareholding of Promoter and Promoter Group Total (A)= (A)(1)+(A)(2)	7	1056521	1056121	5.14	5.14	0.00	0.00
(B)	Public shareholding							
1	Institutions							
a	Mutual Funds	0	0	0	0.00	0.00	0.00	0.00
b	Fins / Banks	0	0	0	0.00	0.00	0.00	0.00
c	Central/State Govt	0	0	0	0.00	0.00	0.00	0.00
d	Venture Cap Fund	0	0	0	0.00	0.00	0.00	0.00
e	Insurance Comp(s)	0	0	0	0.00	0.00	0.00	0.00
f	Foreign Ins Invest	0	0	0	0.00	0.00	0.00	0.00
g	Foreign Ven Cap In	0	0	0	0.00	0.00	0.00	0.00
h	Qualified For.Inv.	0	0	0	0.00	0.00	0.00	0.00
i	Any Other -Specify	0	0	0	0.00	0.00	0.00	0.00
	Sub-Total (B)(1)	0	0	0	0.00	0.00	0.00	0.00
B 2	Non-institutions							
a	Bodies Corporates	166	4915573	4915573	23.91	23.91	0.00	0.00
b	Individuals							
	i) upto Rs 1-Lac	8112	6239455	5873024	30.36	30.36	0.00	0.00
	ii) above Rs1-Lac	197	8001706	7182346	38.93	38.93	0.00	0.00
c	Qualified For.Inv.	0	0	0	0.00	0.00	0.00	0.00
d	Any Other -Clr-Mem	21	38996	38996	0.19	0.19	0.00	0.00
	-OCB	0	0	0	0.00	0.00	0.00	0.00
	-NRI	36	302149	302149	1.47	1.47	0.00	0.00
	Sub-Total (B)(2)	8532	19497879	18312088	94.86	94.86	0.00	0.00
(B)	Total (B)= (B)(1)+(B)(2)	8532	19497879	18312088	94.86	94.86	0.00	0.00
	TOTAL (A)+(B)	8539	20554400	19368209	100.00	100.00	0.00	0.00
(C)	Held by Custodians against Depository Receipts							
	PROMOTER & PRO GRP	0	0	0	0.00	0.00	0.00	0.00
	PUBLIC	0	0	0	0.00	0.00	0.00	0.00
	Grand Total (C)	0	0	0	0.00	0.00	0.00	0.00
	GRAND TOTAL (A)+(B)+(C)	8539	20554400	19368209	100.00	100.00	0.00	0.00

For Five X Finance & Investment Ltd.

Tare Agrawal
Director / Authorised Signatory

Sr. No	Notes

For Five X Finance & Investment Ltd.

Tare Agrawal
Director / Authorised Signatory

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of the Shares held		Encumbered Shares			Details of warrant		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrant and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	Number	% of Total shares held	As a % of grand total (A)+(B)+(C)	Number of warrants held	As a % of total No of warrants of the same class	Number of convertible securities held	As a % of total No of convertible securities of the same class	
1	Rockon Fintech Limited	811680	3.95	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
2	Handful Investrade Pvt Ltd	131550	0.64	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
3	Tlak Finance Ltd	64000	0.31	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
4	Tanu Giriraj Agrawal	28400	0.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
5	Axon Infotech Ltd	18480	0.09	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
6	Girraj Kishor Agrawal	2011	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
7	Tanu G Agrawal	400	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
	Total	1056521	5.14	0.00	0.00	0.00	0.00	0.00	0.00	0.00	

For Five X Finance & Investment Ltd.

Tanu Agrawal
Director / Authorised Signatory

(1)(c) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to category "Public" and holding more than 1% of total number of shares									
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}	Details of warrant		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrant and convertible securities) as a % of diluted share capital	
				Number of warrants held	As a % of total No of warrants of the same class	Number of convertible securities held	As a % of total No of convertible securities of the same class		
1	IQMS Software Limited	1616057	7.86	0.00	0.00	0.00	0.00	0.00	
2	Isairis Trading Pvt. Ltd.	864957	4.21	0.00	0.00	0.00	0.00	0.00	
3	Rajeev Viswambharan Sushela	808000	3.93	0.00	0.00	0.00	0.00	0.00	
4	SSI Finance & Securities Pvt. Ltd.	725120	3.53	0.00	0.00	0.00	0.00	0.00	
5	Mannohan Sahu	400000	1.95	0.00	0.00	0.00	0.00	0.00	
6	Prahlad Rai hani	367440	1.79	0.00	0.00	0.00	0.00	0.00	
7	Dilpeep V. S.	320000	1.56	0.00	0.00	0.00	0.00	0.00	
8	Morvo Industries Ltd.	303690	1.48	0.00	0.00	0.00	0.00	0.00	
9	Nitin Tyagi	239230	1.16	0.00	0.00	0.00	0.00	0.00	
10	Anil Kumar Poddar	207095	1.01	0.00	0.00	0.00	0.00	0.00	
	Total	5851589	28.47	0.00	0.00	0.00	0.00	0.00	

For Five X Finance & Investment Ltd.

Director / Authorised Signatory

(I)(c) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to category "Public" and holding more than 5% of total number of shares									
Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) Indicated in Statement at para (I)(a) above)	Details of warrant		Details of convertible securities		Total shares (including underlying shares of warrant and convertible securities) as a % of diluted share capital	
				Number of warrants held	As a % of total No of warrants of the same class	Number of convertible securities held	As a % of total No of convertible securities of the same class		
1	IQMS Software Limited	1616057	7.86	0.00	0.00	0.00	0.00	0.00	
Total -Public [5% & above]		1616057	7.86	0.00	0.00	0.00	0.00	0.00	

For Five X Finance & Investment Ltd.

Tanvir Hossain

Director / Authorised Signatory

(I) (d) Statement showing details of locked In shares

Sr.No	Name of the Shareholder	No. of locked in shares	Locked in shares as a percentage of total number of shares (i.e. grand total (A) + (B) + © indicated in statement at para (I) (a) above
1	N.A	0	0
	Total	0	0

For Five X Finance & Investment Ltd.

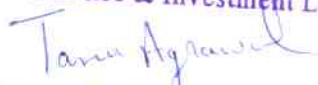
Taru Agrawal

Director / Authorised Signatory

(II) (a) Statement showing details of Depository Receipts

Sr.No	Type of outstanding Depository Receipts (ADRs, GDRs, SDRs)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares {i.e. Grand Total (A) + (B) + (C) indicated in statement at para (I) (a) above
1	N.A	0	0	0
	Total	0	0	0

For Five X Finance & Investment Ltd.


Director / Authorised Signatory

Statement showing holding of Depository receipts (DRs), where underlying shares held by promoter/ promoter group are in excess of 1% of the total number of shares

Sr.No	Name of the DR holder Type of outstanding Depository Receipts (ADRs, GDRs, SDRs)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total no. of shares (i.e. Grand Total (A) + (B) + (C) indicated in statement at para (i) (a) above
1	Nil	0	0
2			
	Total	0	0

For Five X Finance & Investment Ltd

Tanveer Ahmad
Director / Authorised Signatory